

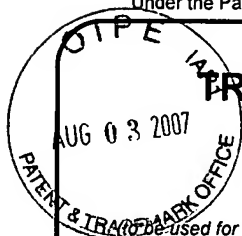
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PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031  
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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number		10/588,646	
		Filing Date	August 7, 2006
		First Named Inventor	Bao-Jian Li et al.
		Art Unit	Not Yet Assigned
Examiner Name		Not Yet Assigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	39387-045 US NAT'L (INTM/020)

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Form PTO/SB/08a; 2) 8 cited references; 3) Return Receipt Postcard.
<b>Remarks</b> The Director is hereby authorized to charge payment of any fees required in connection with filing of these papers to Deposit Account No. 06-1075, Order No. 104825-0020. A duplicate copy of this letter is transmitted herewith.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Fish & Neave IP Group Ropes & Gray LLP		
Signature	<i>Karen Mangasarian</i>		
Printed name	Karen Mangasarian		
Date	August 3, 2007	Reg. No.	43,772

## CERTIFICATE OF EXPRESS MAIL (EXPRESS MAIL LABEL NO. EV930028761US)

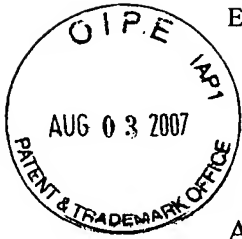
I hereby certify that this correspondence is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature	<i>Rose Marie Dhanraj</i>			
Typed or printed name	ROSE MARIE DHANRAJ		Date	August 3, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EV930028761US

39387-045 US NAT'L (INTM/020)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Bao-Jian Li, *et al.*  
Application No. : 10/588,646  
Filed : August 7, 2006  
For : METHODS AND COMPOSITIONS FOR  
COMBINATION RNAI THERAPEUTICS  
Examiner : Not Yet Assigned  
Group Art Unit : Not Yet Assigned

New York, New York  
August 3, 2007

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make of record the documents listed in the accompanying PTO/SB/08A form. Copies of the documents are enclosed herewith.

Applicants request that the cited document be (1) fully considered by the Examiner during the course of examination of this application, and (2) printed on any patent issuing from this application. Additionally, applicants request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

The documents listed in the attached Form PTO/SB/08A were cited in the July 5, 2007 Supplementary Partial European Search Report in European Application No. EP05713043 -- the European counterpart of the present application.

This Statement is submitted before the mailing of the first Office Action on the merits. In accordance with 37 C.F.R. § 1.97 (b)(3), no fee is due in connection with this Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of the fee to Deposit Account No. 06-1075, Order No. 104825-0020. A duplicate copy of this Statement is enclosed.

Respectfully submitted,



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Karen Mangasarian (Reg. No. 43,772)  
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PTO/SB/08a (04-07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE																	
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Substitute for form 1449A/PTO  <h2 style="text-align: center; margin: 10px 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin-top: 10px;">(Use as many sheets as necessary)</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">Complete if Known</th> </tr> <tr> <td style="width: 50%;">Application Number</td> <td>10/588,646</td> </tr> <tr> <td>Filing Date</td> <td>August 7, 2006</td> </tr> <tr> <td>First Named Inventor</td> <td>Bao-Jian Li et al.</td> </tr> <tr> <td>Art Unit</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Examiner Name</td> <td>Not Yet Assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>39387-045 US NAT'L (INTM/020)</td> </tr> </table>		Complete if Known		Application Number	10/588,646	Filing Date	August 7, 2006	First Named Inventor	Bao-Jian Li et al.	Art Unit	Not Yet Assigned	Examiner Name	Not Yet Assigned	Attorney Docket Number	39387-045 US NAT'L (INTM/020)
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Sheet	1	of	1														

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		WO 03/106630	12-24-2003	Ambion Inc.		
		WO 05/019410	03-03-2005	Intradigm Corp.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		HAASNOOT, P, et al., "Inhibition of virus replication by RNA interference," <i>Journal of Biomedical Science</i> , 10:607-616 (2003).	
		HE, M-L, et al., "Inhibition of SARS-associated coronavirus infection and replication by RNA interference," <i>The Journal of the American Medical Association</i> , 290:2665-2666 (2003).	
		Jl, J, et al., "Enhanced gene silencing by the application of multiple specific small interfering RNAs," <i>FEBS Letters</i> , 552:247-252 (2003).	
		LEE, N, et al., "Expression of small interfering RNAs targeted against HIV-1 rev transcripts in human cells," <i>Nature Biotechnology</i> , 19:500-505 (2002).	
		YU, J-Y, et al., "Simultaneous inhibition of GSK3alpha and GSK3beta using hairpin siRNA expression vectors," <i>The Journal of the American Society of Gene Therapy</i> , 7:228-236 (2003).	
		ZHANG, R, et al., "Inhibiting severe acute respiratory syndrome-associated coronavirus by small interfering RNA," <i>Chinese Medical Journal/Zhonghua Yixue Zazhi Yingwen Ban</i> , 116:1262-1264.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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